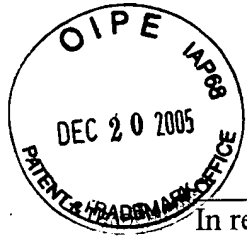


Application No.: 10/539,239

Docket No.: 1248-0789PUS1

Docket No.: 1248-0789PUS1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Kenichi IWAUCHI et al.

Application No.: 10/539,239

Confirmation No.: 9262

Filed: June 16, 2005

Art Unit: N/A

For: LIGHT GUIDE PLATE, LIGHTING
APPARATUS EQUIPPED THEREWITH, FLAT
LIGHT SOURCE APPARATUS, AND
DISPLAY APPARATUS

Examiner: Not Yet Assigned

RESPONSE TO NOTIFICATION OF INSUFFICIENT FEES (DO/EO/US)Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notification of Insufficient Fees (DO/EO/US) dated October 24, 2005,
Applicant respectfully submits:

- ☒ Attached is a copy of the Notification of Insufficient Fees (371 Formalities Notice).
- ☐ Attached is the Executed Declaration and Power of Attorney ☐ Original ☐ Photocopy.
- ☐ The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification that was filed in the U.S. Patent and Trademark Office on June 16, 2005, including any amendments thereto (if applicable) filed on even date therewith.
- ☐ The undersigned hereby declares that "Attorney Docket No. 1248-0789PUS1" on page 1 of the attached Inventors' Declaration corresponds to Appl. No. 10/539,239 filed June 16, 2005 entitled "LIGHT GUIDE PLATE, LIGHTING APPARATUS EQUIPPED

THEREWITH, FLAT LIGHT SOURCE APPARATUS, AND DISPLAY APPARATUS.”

- ☐ Attached is an English language translation of the above-identified application that was filed in a foreign language, which should be used as the copy for examination purposes.

See the attached Translator's Verification; or

The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.

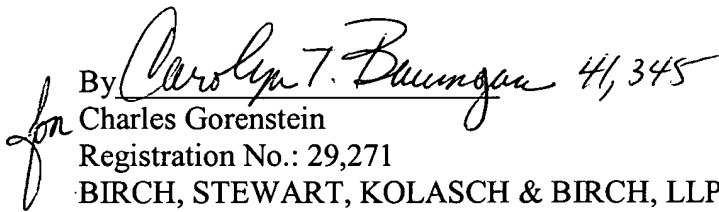
- ☐ Attached are **0** sheet(s) of drawings. Please substitute these replacement drawings for the corresponding **25** sheet(s) of drawings on file in the above-identified application.
- ☒ Attached are substitute claims commencing on a separate sheet in accordance with 37 C.F.R. § 1.75(h), wherein the multiple-dependent claims are eliminated.
- ☐ Attached is a substitute abstract commencing on a separate sheet in accordance with 37 C.F.R. § 1.72(b).
- ☐ Attached is a substitute specification that complies with 37 C.F.R. § 1.52. The substitute specification does not contain new matter.
- ☒ Attached is a preliminary amendment.
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27.
- ☐ Attached is a Supplemental Application Data Sheet (ADS).
- ☐ Submitted concurrently herewith under separate cover for recording is an Assignment.
- ☐ Attached is a Petition for Extension of Time.

- ☐ The Government Filing Surcharge for late filing of oath and/or declaration in the amount of \$130.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on June 16, 2005.
- ☐ Attached hereto is the fee transmittal listing the required fees.

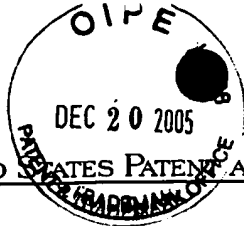
If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: December 20, 2005

Respectfully submitted,

By  41,345
for Charles Gorenstein
Registration No.: 29,271
BIRCH, STEWART, KOLASCH & BIRCH, LLP
8110 Gatehouse Road
Suite 100 East
P.O. Box 747
Falls Church, Virginia 22040-0747
(703) 205-8000
Attorney for Applicant

Attachment(s)



UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/539,239	Kenichi Iwauchi	1248-1789PUS1

INTERNATIONAL APPLICATION NO.

PCT/JP03/15494

I.A. FILING DATE	PRIORITY DATE
12/03/2003	12/18/2002

2292

 BIRCH STEWART KOLASCH & BIRCH
 PO BOX 747
 FALLS CHURCH, VA 22040-0747

CONFIRMATION NO. 9262

371 FORMALITIES LETTER



OC000000017287640

Date Mailed: 10/24/2005

Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/16/2005
- Copy of the International Search Report filed on 06/16/2005
- Copy of IPE Report filed on 06/16/2005
- Preliminary Amendments filed on 06/16/2005
- Information Disclosure Statements filed on 06/16/2005
- Oath or Declaration filed on 06/16/2005
- Request for Immediate Examination filed on 06/16/2005
- U.S. Basic National Fees filed on 06/16/2005
- Priority Documents filed on 06/16/2005
- Power of Attorney filed on 06/16/2005
- Specification filed on 06/16/2005
- Claims filed on 06/16/2005
- Abstracts filed on 06/16/2005
- Drawings filed on 06/16/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$3460** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$3210** for a Large Entity:

- Total additional claim fee(s) for this application is \$ **3460**

- **\$3100** for **62** total claims over 20.
- **\$360** for multiple dependent claim surcharge.

(A previous payment of **\$250** will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/539,239	PCT/JP03/15494	1248-1789PUS1